A Cradle-to-Gate Life Cycle Assessment of Pre-baked Carbon Anode Production; A Case Study in Quebec

Bernard Osei¹, Simon Laliberté-Riverin² and Houshang Alamdari³

1. Master Student 2. Postdoctoral Researcher 3. Professor Aluminium Research Center, REGAL, Department of Mining, Metallurgical and Materials Engineering, Laval University, Quebec, Canada Corresponding author: bernard.osei.1@ulaval.ca https://doi.org/10.71659/icsoba2024-el004

Abstract

The carbon anode, which is an important raw material in the production of aluminium, is conventionally produced by mixing granular calcined petroleum coke (CPC) and coal-tar pitch (CTP), which acts as a binder. The mixture is compacted and then baked to produce carbon anodes. With the continued rise in the global demand for aluminium, the demand for carbon anode is expected to increase significantly. The aluminium industry is exploring methods to lower its carbon footprint on the path to achieving net zero greenhouse gas emissions by 2050. One potential approach involves using biomass-based binders instead of CTP-based binders. While this technology is in its early stages and not yet in industrial production, it still generates emissions despite using biomass as a raw material. The current study, which supports the ongoing global efforts to mitigate industrial processes' environmental impact, delves into the comprehensive life cycle assessment (LCA) of CTP-based carbon anode production. By utilizing the LCA methodologies, the results of this study will serve as a baseline for comparison with the potential impacts of bio-pitch-based anodes. A baseline process tree, which represents a cradle-to-gate life cycle inventory process system, has been built to produce 1 t of CTP-based anode and assess its environmental impacts in Quebec. The study utilized the ReCiPe midpoint (H) environmental impact method for the assessment. The results from the study show that CTP and CPC have the major environmental impacts in all environmental impact categories. CTP and CPC accounted for about 69.5 % of the climate change emissions, and 82.7 % of the emissions from human toxicity. The two sensitivity analyses proved that an increase in the total mass of CTP within the accepted range of the mixing ratio from the industry causes a consistent increase in the total emissions in each environmental impact category.

Keywords: Aluminium, Coal-tar pitch, Carbon anode, Cradle-to-gate, Life cycle assessment.

1. Introduction

In Canada, and particularly in the province of Quebec, aluminium production is among the major industries. Globally, Canada is the fifth-largest aluminium producer and the second-largest aluminium exporter, with aluminium constituting 2 % of Canadian exports. Countrywide, nine smelters (eight in Quebec and one in British Columbia) are operated by three major aluminium producers (Rio Tinto, Alcoa, and Alouette). The total annual production was 3.1 Mt in 2021, 90 % of which was produced in Quebec [1]. Based on assessments by the International Energy Agency (IEA), it was predicted that by the end of 2050, the demand for aluminium in various industrial applications will increase between 2.6 and 3.5 times [2]. The emissions from aluminium production have made the aluminium industry currently responsible for 2 % of global greenhouse gas (GHG) emissions, i.e., about 1.1 Gt CO₂ equiv. annually [3]. According to the International Energy Agency report, several countries have pledged to maximize their efforts to fight against atmospheric emissions. The main target is to reach net zero GHG emissions by 2050 and provide an equitable

means for the world to limit the global temperature rise to 1.5 °C [4]. To achieve this target, all industries have been edged to play their part in the effort to achieve net zero GHG emissions by 2050 [5].

The aluminium industry is exploring methods to lower its carbon footprint toward achieving net zero greenhouse gas emissions by 2050 [6]. Some researchers are studying how carbon capture [7] and inert anodes [8] can be incorporated into aluminium production to help achieve this aim. Another potential approach involves using biomass-based binders instead of coal-tar pitch-based binders for anode production. This technology, being investigated by some researchers [9-13], is in its initial stages and not yet in industrial production. Before this technology can be accepted and used in industrial production, the potential environmental benefits, including CO_2 and PAH emissions reduction, as well as the environmental impacts of using this new technology should be studied in comparison with the existing technology. This can be achieved using life cycle assessment (LCA) to analyze the impacts of raw materials acquisition and processes utilized in the production of carbon anode.

The pre-baked anodes used in aluminium production are produced from a recipe of calcined petroleum coke, anode butts, and coal-tar pitch [14], which serves as a binder. The calcined petroleum coke and the CTP are fossil-based materials, and their consumption emits about $1.5 \text{ t CO}_2 \text{ eq./t Al}$ produced [15, 16]. The calcination of petroleum coke and the distillation of coal tar to produce the coal-tar pitch also release CO₂. CTP transportation, storage at the site, and anode paste processing release polycyclic aromatic hydrocarbons (PAHs), although most are captured onsite in the paste plant [17]. Again, during the baking of the carbon anodes, which is part of the production process, the coal-tar pitch releases PAHs, but most of these PAHs are combusted in the furnace and other subsequent scrubbing processes also remove some of the released PAHs [18, 19]. These PAH substances are assumed to be carcinogenic to humans and potentially hazardous to the environment [20-22].

The current study delves into the comprehensive LCA of CTP-based carbon anode production. The LCA approach has been used by several researchers to assess the emissions and impacts of primary aluminium production [23-26] and one study has reported the CO₂ emitted by the Alouette smelter in Quebec, including data on the production of CPC and CTP and on the manufacture of anodes [27]. The International Aluminium Institute (IAI), as part of their inventory data for the production of aluminium, reported the CO_2 emissions and 5 other impact categories for the anode production process in Canadian smelters [28]. However, none of the studies described above have included the human toxicity impact category in their LCA. In the case of bio-binders, it is important to quantify those impacts since the reduction of PAH emissions is one potential incentive to use those materials. The current study therefore focuses on CO₂ emissions and human health impacts from the production of CTP-based carbon anodes in Quebec. As part of the study, a baseline process tree has been built to produce 1 t of CTP-based anode. The baseline represents a cradle-to-gate life cycle inventory process system, that covers the entire life cycle, from the extraction of raw materials to the production of the pre-baked anode. Input and output data were supplemented with background data from the Ecoinvent version 3.10 database. The impact factors were quantified with ReCiPe midpoint (H) method. This will guide future studies to determine the potential benefits of using bio-pitch for anode production.

2. Life Cycle Analysis (LCA)

Life cycle assessment (LCA) is a systematic method for evaluating the impacts associated with a product or process life, from its early stages to its end-of-life stage. It can assess all input material and energy inputs of a process as well as the waste released to the environment [19]. It is concerned with the environmental impacts of a series of industrial operations or a system. LCA has a wide range of uses, including marketing, developing new products and processes, developing policies,

2.4.1- CO₂ Emission

Calculated from equation (A2) with the quantity of natural gas needed for the mixing and forming process. The estimated quantity of natural gas used in this process in this study is 0.2 m^3 . $ECO_2 = 0.36 \text{ kg CO2/t}$ baked anode.

Other emissions which include PAH, SO₂, and Particulate matter are taken from the IAI anode and paste emission contributions, which are included in their aluminium production inventory report [28].

3.0- Sensitivity Analysis Elementary Flow Calculations

All elementary flow related to the two sensitivity analyses are calculated using the same approach for the initial analysis calculation above with the specified quantities used in this study.

7. References

- 1. Aluminium Association of Canada, https://aluminium.ca/pdf/2021-11-15-AAC-Portrait-EN.pdf, (accessed on 23 February 2024).
- 2. International Energy Agency (IEA), Energy technology transitions for industry: strategies for next industrial revolution, Cedex, France, IEA, 2009.
- 3. Aluminium Sector Greenhouse Gas Pathways to 2050, IAI, https://internationalaluminium.org/resource/aluminium-sector-greenhouse-gas-pathways-to-2050-2021/ (Accessed on 4 October 2023).
- 4. Energy Agency, IAI, (2050). Net Zero by 2050 A Roadmap for the Global Energy Sector. https://www.iea.org/events/net-zero-by-2050-a-roadmap-for-the-global-energy-system, (Accessed on 21 December 2023)
- 5. Environment and Climate Change Canada; Canadian businesses continue to join the Net-Zero Challenge to drive climate action, https://www.canada.ca/en/environment-climatechange/news/2023/11/canadian-businesses-continue-to-join-the-net-zero-challenge-todrive-climate-action.html, (Accessed on 17 May 2024).
- 6. International Aluminium Institute, Aluminium industry backs action this decade for net zero by 2050, GHG, Global Industry, News, September 21, 2022, https://international-aluminium.org/aluminium-industry-backs-action-this-decade-for-net-zero-by-2050/ (Accessed on 13 November 2023).
- 7. Mai Bui et al., Carbon capture and storage (CCS): the way forward, *Energy & Environmental Science*, Issue 5, 2018.
- 8. Donald R. Sadoway, Inert anodes for the Hall-Héroult cell: the ultimate materials challenge, *Journal of Metals*, May 2001, Volume 53, 34–35.
- 9. Asem Hussein et al., Bio-pitch as a binder in carbon anodes for aluminium production: Bio-pitch properties and its interaction with coke particles, *Fuel*, Vol. 275, (2020), https://doi.org/10.1016/j.fuel.2020.117875.
- 10. Asem Hussein, Donald Picard, and Houshang Alamdari, Biopitch as a Binder for Carbon Anodes: Impact on Carbon Anode Properties, *ACS Sustainable Chemistry and Engineering*, Vol. 9, No. 12, (2021), 4681–4687.
- 11. Samuel Senanu and Asbjørn Solheim, Biocarbon in the aluminium industry: a review, *Light Metals* 2021, 649-656.
- 12. Yaseen Elkasabi et al., Biobased tar pitch produced from biomass pyrolysis oils, *Fuel*, Vol. 318, 15 June 2022, https://doi.org/10.1016/j.fuel.2022.123300.
- 13. Gøril Jahrsengene et al., Bio-binders and their carbonization and interaction with petroleum coke during baking, *Light Metals* 2022, 883-889.

- 14. Arne Petter Ratvik, Roozbeh Mollaabbasi, and Houshang Alamdari, Aluminium production process: from Hall-Héroult to modern smelters, *ChemTexts*, Vol. 8, No. 2, (2022).
- 15. Halvor Kvande and Per Arne Drabløs, The aluminium smelting process and innovative alternative technologies, *Journal of Occupational and Environmental Medicine*, Vol. 56, No. 5, (2014), S23-S32.
- 16. World Aluminium Organization, Primary aluminium production, http://www.worldaluminium.org/statistics/#data (Accessed on 19 September 2023).
- 17. Carl Behrens, Oscar Espeland, and Bjarne Nenseter, Emissions of dioxins and VOCs from the Årdal carbon plant, *Light Metals* 2007, 981-987.
- 18. Gianluca Cusano, Best Available Techniques (BAT) Reference Document for the Non-Ferrous Metals Industries - Industrial Emissions Directive 2010/75/EU (Integrated Pollution Prevention and Control), https://op.europa.eu/en/publication-detail/-/publication/c0bc6046-651c-11e7-b2f2-01aa75ed71a1.
- 19. Javad Abdollahi et al., Environmental impact assessment of aluminium production using the life cycle assessment tool and multi-criteria analysis, *Annals of Environmental Science and Toxicology*, (2021), 059–066.
- 20. Institut national de santé publique du Québec, Bulletin d'information en santé environnementale (Polycyclic aromatic hydrocarbons: exposure and risk in the general population Canada.ca Institut national de santé publique du Québec (inspq.qc.ca)).
- 21. Christopher Blair Crawford and Brian Quinn, The interactions of microplastics and chemical pollutants. *In Microplastic Pollutants*, 131–157, Elsevier, 2017.
- 22. Xiangzhi Li et al., Air pollution from polycyclic aromatic hydrocarbons generated by human activities and their health effects in China, *Journal of Cleaner Production* Vol. 112, (2016), 1360-1367.
- 23. Xiaoyi He et al., Cradle-to-gate greenhouse gas (GHG) burdens for aluminum and steel production and cradle-to-grave GHG benefits of vehicle lightweighting in China, *Resources, Conservation and Recycling,* Vol. 152, (2020).
- 24. Reginald B. H. Tan and Hsien H. Khoo, An LCA study of a primary aluminum supply chain, *Journal of Cleaner Production*, Vol. 13, No. 6, (2005), 607–618.
- 25. Yi Yang et al., Environmental impact assessment of China's primary aluminum based on life cycle assessment, *Transactions of Nonferrous Metals Society of China* (English Edition), Vol. 29, No. 8, (2019), 1784–1792.
- 26. Shahjadi Hisan Farjana, Nazmul Huda, and M.A. Parvez Mahmud, Impacts of aluminium production: A cradle-to-gate investigation using life-cycle assessment, *Science of the Total Environment*, Vol. 663, (2019), 958–970.
- 27. Les Edwards et al., Quantifying the carbon footprint of the Alouette primary aluminium smelter. *JOM*, Vol. 74, No. 12, (2022), 4909–4919.
- 28. 2019 Life Cycle Inventory (LCI) Data and Environmental Metrics, https://internationalaluminium.org/resource/2019-life-cycle-inventory-lci-data-and-environmental-metrics/
- 29. ISO International Organization for Standardization, Life Cycle Analysis, (ISO 14040:2006- Environmental management Life cycle assessment Principles and framework).
- 30. Marry Ann Curran, Life Cycle Assessment: A review of the methodology and its application to sustainability, In *Current Opinion in Chemical Engineering* Vol. 2, No. 3, (2013), 273–277.
- 31. G. Rebitzer et al., Life cycle assessment Part 1: Framework, goal and scope definition, inventory analysis, and applications, In *Environment International*, Vol. 30, No. 5, (2004), 701–720
- 32. Les Edwards, The history and future challenges of calcined petroleum coke production and use in aluminum smelting, *JOM*, Vol. 67, No. 2, (2015), 308–321.

- 33. The Aluminium Sector Greenhouse Gas Protocol (Addendum to the WRI/WBCSD Greenhouse Gas Protocol): Greenhouse Gas Emissions Monitoring and Reporting by the Aluminium Industry, https://ghgprotocol.org/sites/default/files/aluminium_1.pdf, (Accessed on 17 May 2024).
- 34. Yuzhou Tang et al., Environmental and economic impacts assessment of prebaked anode production process: A case study in Shandong Province, China, *Journal of Cleaner Production*, Vol. 196, (2018), 1657–1668.
- 35. Raja Javed Akhtar et al., Anode quality and bake furnace performance of EMAL, *Light Metals* 2012, 1175-1179.
- 36. Werner K. Fischer, Markus W. Meier, and Mauriz W. Lustenberger, Cooling of green anodes after forming, *Light Metals* 1999, 547-554.
- 37. Navigant Consulting, Inc. 2006, Refining estimates of water-related energy use in California, California Energy Commission, PIER Industrial/Agricultural/Water End Use Energy Efficiency Program, CEC-500-2006-118.
- 38. Gerd-Peter Blümer, Gerd Collin, and Hartmut Höke, Tar and pitch, in Ullmann's Encyclopedia of Industrial Chemistry, Wiley-VCH Verlag GmbH & Co. KGaA, 2011.
- 39. María Antonia Diez and Roberto Garcia, Coal tar: a by-product in cokemaking and an essential raw material in carbochemistry, In *New Trends in Coal Conversion: Combustion, Gasification, Emissions, and Coking* (pp. 439–487), Elsevier, 2018.
- 40. Pen Li et al., A synergy model of material and energy flow analysis for the calcination process of green petroleum coke in rotary kiln, *Thermal Science*, January 2021 26(00):188-188.
- 41. Markus W. Meier, *Cracking behaviour of anodes*, PhD Thesis, Federal Institute of Technology, Zurich, 1996.
- 42. Electricity generation by source; Quebec 2023, Statista Research Department, https://www.statista.com/statistics/1403197/electricity-generation-by-sourcequebec/#:~:text=In%20the%20Canadian%20province%20of%20Quebec%2C%20hydrop ower%20is,and%20geothermal%20energy%20altogether%20contributed%20around%20 six%20percent (Accessed on 28 March 2024).
- 43. Mark Jacob Goedkoop, ReCiPe 2008: a life cycle impact assessment method which comprises harmonised category indicators at the midpoint and the endpoint level, 1st Edition (Version 1.08) report, May 2013.
- 44. Mark Jacob Goedkoop, Characterization factors for global warming in life cycle assessment based on damages to humans and ecosystems, *Environ Sci Technol*. Vol. 43, (2009), 1689-1695.
- 45. Mark A. J. Huijbregts et al., ReCiPe2016: a harmonised life cycle impact assessment method at midpoint and endpoint level, *International Journal of Life Cycle Assessment*, Vol. 22, No. 2, (2017), 138–147.
- 46. 1,4-Dichlorobenzene (para-Dichlorobenzene): Health Hazard Information: https:// www.epa.gov/sites/default/files/2016-09/documents/1-4-dichlorobenzene.pdf, (Accessed on 31 May 2024).
- 47. Greenhouse Gas Protocol: World Resources Institute: https://www.wri.org/initiatives/gre enhouse-gas-protocol, (Accessed on 30 May 2024).
- 48. International Aluminium Institute: https://international-aluminium.org/resource/aluminiu m-sector-greenhouse-gas-pathways-to-2050-2021/, (Accessed on 12 May 2024).
- 49. Savannah Bertrand, Fact sheet: climate, environmental, and health impacts of fossil fuels, https://www.eesi.org/papers/view/fact-sheet-climate-environmental-and-health-impactsof-fossil-fuels-2021 (Accessed on 28 March 2024).
- 50. US Environmental Protection Agency, Coke oven emissions, https://www.epa.gov/sites/d efault/files/2016-09/documents/coke-oven-emissions.pdf (Accessed on 2 May 2024).
- 51. Engineering ToolBox, (2008), Methane Thermophysical Properties, Available at: https://www.engineeringtoolbox.com/methaned_1420.html (Accessed on 9 March 2024).

- 52. Richard K. Lattanzio and Anthony Andrews, Petroleum coke: industry and environmental issues, (2013), www.crs.gov.
- 53. Emission estimates guide for primary aluminum producers: Canada.ca/en/environmentclimate-change/services/national-pollutant-release-inventory/report/sector-specific-toolscalculate-emissions/emission-estimation-guide-primary-aluminum-producers.html, (Accessed on 14 March 2024).